4-26-01

PATENT APPLICATION

under 35 USC 111(a) and Rule 53(b) WITH SIGNED DECLARATION Commissioner for Patents

NONPROVISIONAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING NATIONAL PATENT APPLICATION

EX PATENT APPLICATION

Washington, D.C. 20231

Sir:

Enclosed herewith is the PATENT APPLICATION of

Inventor: HO et al.

Title: "Method and System to Provide Modular Parallel Precoding in Optical Duobinary Transmission Systems"

(Our Deposit Account No. 03-3975)

Our Order No.

Atty. Docket

014271

0259668

Matter #

Client# 0259668

ST-005

Client Ref

Date: April 24, 2001

including:

Specification: 26 pages including Title page, Claims and Abstract

- Declaration with Notary Acknowledgment (3 pages total) (Original copy) 2.
- Drawings: 13 sheets (Formal) 3.
- An Assignment and cover sheet with Notary Acknowledgment (4 pages total). Please return the recorded Assignment to the undersigned.

15. Nonpublication Request under Rule - 213(a)

C. Paris Filing For				\$710 / 355	\$ 355.00	101/201
6. Basic Filing Fee				\$7107355	\$ 355.00	101/201
7. Total Claims:	42	minus 20 =	22	x \$18/\$9 =	+ 198.00	103/203
8. Independent Claims:	5	minus 3 =	02	x \$80/\$40 =	+ 80.00	102/202
9. If multiple dependent claim is present, add				+ \$270/\$135	+ 0.00	104/204
10. TOTAL FILING FEE ENCLOSED =					\$ 633.00	
11. If "non-English" box is X'd, add Rule 17(k) processing fee				+ \$130/\$130	+ 0.00	139
12. If "assignment" box is X'd, add recording fee				+ \$40/\$40	+ 40.00	581
13. [] Enclosed is a Petition/Fee under Rule No.				+ \$130/\$130	+	122
14. TOTAL FEE ENCLOSED:					\$ 673.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fees which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is enclosed. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

1100 New York Avenue, N.W. Ninth Floor, East Tower Washington, D.C. 20005-3918

Tel: (650) 233-4609 Fax: (650) 233-4040 PILLSBURY WINTHROP LLP

By: Christopher D. Agnew (Patent Agent), Reg. No. 43,464

Express Mail Label

Date of Deposit:

EL 692380856 US

April 24, 2001

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 35 CFR 1.10 on the above date, addressed to: Commissioner for Patents, Box Patent Application, Washington, D.C.

20231

Jeanette Walker-Cooks

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents BOX PATENT APPLICATION Washington, D.C. 20231

Inventors: HO et al.

Title: Method and System to Provide

Modular Parallel Precoding in

Optical Duobinary Transmission

Systems

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NONPUBLICATION REQUEST UNDER RULE 213(a)

Sir:

The enclosed new application is NOT TO BE PUBLISHED under 35 U.S.C. §122(b).

I hereby certify that the invention disclosed in the enclosed new application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

This request is signed in compliance with the provisions of Rule 33(b).

Respectfully Submitted,

PILLSBURY MADISON & SUTRO LLP

By: Christopher D. Agnew (Patent Agent), Reg. No. 43,464

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Jeanette Walker-Cooks